

Notification of Major Holdings

To be sent to BaFin via Fax (++49-(0)228-4108-3119) or via mail (BaFin, Referat WA 12 / WA 13, Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holding published on _____ (date of publication)ⁱ

1. Details of issuer (name, address)

Aumann AG
Dieselstraße 6, 48361 Beelen
Germany

2. Reason for notification (multiple reasons possible)ⁱⁱ

☒ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of instruments

☐ Change of breakdown of voting rights

☐ Other reasonⁱⁱⁱ:

3. Details of person subject to the notification obligation^{iv}

Name:^v JPMorgan Asset Management (UK) Limited City and country of registered office^{vi} (if applicable): London, United Kingdom

4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3.^{vii}

5. Date on which threshold was crossed or reached:^{viii} 24.03.2017

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1. + 7.b.2.)	Total of both in % (7.a. + 7.b.)	Total number of voting rights of issuer ^{ix}
Resulting situation	4.63%	0.00%	4.63%	14000000
Previous notification (if applicable) ^x	N/A	N/A	N/A	

7. Notified details of the resulting situation**a. Voting rights attached to shares (Sec. 21, 22 WpHG)**

ISIN ^{xi}	absolute		in %	
	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)
DE000A2DAM03		647642	%	4.63%
Total	647642		4.63%	

b.1. Instruments according to Sec. 25 para. 1 No. 1 WpHG

(please use annex in case of more than 3 instruments)

Type of instrument ^{xii}	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Total				

b.2. Instruments according to Sec. 25 para. 1 No. 2 WpHG

(please use annex in case of more than 3 instruments)

Type of instrument ^{xiii}	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement ^{xiv}	Voting rights absolute	Voting rights in %
Total					

8. Information in relation to the person subject to the notification obligation (please tick the applicable box):

☒ Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☐ Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity^{xv} (please use annex in case of more than 4 undertakings; in this case please always provide only to BaFin also an organizational chart accompanying your notification):

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
	%	%	%
	%	%	%
	%	%	%
	%	%	%

9. In case of proxy voting according to Sec. 22 para. 3 WpHG

(only in case of attribution of voting rights pursuant to Sec. 22 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding position after general meeting: % (equals voting rights)