

## Notification of Major Holdings

To be sent to BaFin via Fax (+ +49-(0)228-4108-3119) or via mail (BaFin, Referat WA 12 / WA 13, Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holding published on (date of publication)<sup>i</sup>

### 1. Details of issuer (name, address)

Aumann AG  
Dieselstr. 6  
48361 Beelen, Germany

### 2. Reason for Notification (multiple reasons possible)<sup>ii</sup>

☐ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of instruments

☐ Change of breakdown of voting rights

☒ Other reason<sup>iii</sup>:

First time admission of shares to trading on an organised market (sec. 21(1a) of the WpHG)

### 3. Details of person subject to the notification obligation<sup>iv</sup>

Name:<sup>v</sup> City and country of registered office<sup>vi</sup> (if applicable):  
BlackRock Strategic Funds Luxembourg, Luxembourg

### 4. Name of shareholder(s) holding directly 3% or more voting rights, if different from 3.<sup>vii</sup>

### 5. Date on which threshold was crossed or reached:<sup>viii</sup> 24.03.2017

### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights of issuer <sup>ix</sup>
Resulting situation	3,93%	0,00%	3,93%	14000000
Previous notification (if applicable) <sup>x</sup>	N/A	N/A	N/A	

**7. Notified details of the resulting situation****a. Voting rights attached to shares (Sec. 21, 22 WpHG)**

ISIN <sup>xi</sup>	Absolute		In %	
	direct (Sec. 21 WHG)	indirect (Sec. 22 WHG)	direct (Sec. 21 WHG)	indirect (Sec. 22 WHG)
DE000A2DAM03	549505	0	3,93%	0,00%
			%	%
<b>Total</b>	549505		3,93%	

**b.1. Instruments according to Sec. 25 para. 1 No. 1 WpHG**

Type of instrument <sup>xii</sup>	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
				%
				%
				%
<b>Total</b>				

**b.2. Instruments according to Sec. 25 para. 1 No. 2 WpHG**

Type of instrument <sup>xiii</sup>	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement <sup>xiv</sup>	Voting rights absolute	Voting rights in %
					%
					%
					%
<b>Total</b>					

**8. Information in relation to the person subject to the notification obligation (please tick the applicable box)**

☒ Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☐ Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (in case of more than 4 undertakings an annex is requested; the annex can be either a table or a qualified organizational chart; a qualified organizational chart contains only the information of a table; in case of a table please always provide only to BaFin also a basic organizational chart)

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
	%	%	%
	%	%	%
	%	%	%
	%	%	%

**9. In case of proxy voting according to Sec. 22 para. 3 WpHG**

(only in case of attribution of voting rights pursuant to Sec. 22 para.1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding position after general meeting:      % (equals      voting rights)

**10. Other useful information**

**Attachment to** (Please tick the applicable box)

☐ **II.7.b.1.** (Fill in the sums into the standard form)

<b>7.b.1. Instruments i.S.d. Sec. 25 para. 1 No. 1 WpHG</b> (attachment can be extended)				
Type of Instrument	Voting rights absolute	Voting rights in %	Expiration or maturity date	Exercise or conversion period
		%		
		%		
		%		
		%		
		%		
		%		

☐ **II.7.b.2.** (fill in the sums into the standard form)

<b>7.b.2. Instrumente i.S.d. Sec. 25 Abs. 1 No. 2 WpHG</b> (attachment can be extended)					
Type of Instrument	Voting rights absolute	Voting rights in %	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement
		%			
		%			
		%			
		%			
		%			
		%			