

## Notification of Major Holdings

To be sent to BaFin via Fax (+ +49-(0)228-4108-3119) or via mail (BaFin, Referat WA 12 / WA 13, Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holding published on (date of publication)

### 1. Details of issuer (name, address)

Aumann AG  
Dieselstr. 6  
48361 Beelen , Germany

### 2. Reason for Notification (multiple reasons possible)

☒ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of instruments

☐ Change of breakdown of voting rights

☒ Other reason:

Voluntary group notification with triggered threshold on subsidiary level

### 3. Details of person subject to the notification obligation

Name and, if applicable, date of birth: City and country of registered office (if applicable):  
BlackRock, Inc. Wilmington, DE, U.S.A.

### 4. Name of shareholder(s) holding directly 3% or more voting rights, if different from 3.

### 5. Date on which threshold was crossed or reached: 12.03.2018

### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights of issuer
Resulting situation	3,40%	0,44%	3,83%	15250000
Previous notification (if applicable)	3,11%	0,69%	3,80%	

7. Notified details of the resulting situation				
a. Voting rights attached to shares (Sec. 33, 34 WpHG)				
ISIN	Absolute		In %	
	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)
DE000A2DAM03	0	517804	0,00%	3,40%
			%	%
<b>Total</b>	517804		3,40%	

b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG (please use annex in case of more than 3 instruments)				
Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Lent Securities	N/A	N/A	66387	0,44%
				%
				%
<b>Total</b>			66387	0,44%

b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG (please use annex in case of more than 3 instruments)					
Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
					%
					%
<b>Total</b>					

**8. Information in relation to the person subject to the notification obligation** (please tick the applicable box)

☐ Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☒ Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity  
(in case of more than 4 undertakings an annex is requested; the annex can be either a table or a qualified organizational chart; a qualified organizational chart contains only the information of a table; in case of a table please always provide only to BaFin also a basic organizational chart)

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
See Attachment	%	%	%
	%	%	%
	%	%	%
	%	%	%

**9. In case of proxy voting according to Sec. 34 para. 3 WpHG**

(only in case of attribution of voting rights pursuant to Sec. 34 para.1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding position after general meeting:      % (equals      voting rights)

**10. Other useful information**

**Attachment to** (Please tick the applicable box)

☐ **7.b.1.** (fill in the sums [total] into the standard form)

<b>b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG</b> (attachment can be extended)				
Type of Instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
				%
				%
				%
				%
				%
				%

☐ **7.b.2.** (fill in the sums [total] into the standard form)

<b>b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG</b> (attachment can be extended)					
Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
					%
					%
					%
					%
					%

☒ **8.** (Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity; attachment can be extended)

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
BlackRock, Inc.			
BlackRock Holdco 2, Inc.			
BlackRock Financial Management, Inc.			
BlackRock Holdco 4, LLC			
BlackRock Holdco 6, LLC			
BlackRock Delaware Holdings Inc.			
BlackRock Institutional Trust Company, National Association			
BlackRock, Inc.			
BlackRock Holdco 2, Inc.			
BlackRock Financial Management, Inc.			
BlackRock Holdco 4, LLC			
BlackRock Holdco 6, LLC			

BlackRock Delaware Holdings Inc.			
BlackRock Fund Advisors			
BlackRock, Inc.			
BlackRock Holdco 2, Inc.			
BlackRock Financial Management, Inc.			
BlackRock International Holdings, Inc.			
BR Jersey International Holdings L.P.			
BlackRock Group Limited			
BlackRock Advisors (UK) Limited			
BlackRock, Inc.			
BlackRock Holdco 2, Inc.			
BlackRock Financial Management, Inc.			
BlackRock International Holdings, Inc.			
BR Jersey International Holdings L.P.			
BlackRock Group Limited			
BlackRock Luxembourg Holdco S.à r.l.			
BlackRock (Luxembourg) S.A.			
BlackRock, Inc.			
BlackRock Holdco 2, Inc.			
BlackRock Financial Management, Inc.			
BlackRock International Holdings, Inc.			
BR Jersey International Holdings L.P.			
BlackRock Group Limited			
BlackRock Luxembourg Holdco S.à r.l.			
BlackRock Investment Management Ireland Holdings Limited			
BlackRock Asset Management Ireland Limited			
BlackRock, Inc.			
BlackRock Holdco 2, Inc.			
BlackRock Financial Management, Inc.			
BlackRock International Holdings, Inc.			
BR Jersey International Holdings L.P.			
BlackRock Group Limited			
BlackRock International Limited			
BlackRock, Inc.			
BlackRock Holdco 2, Inc.			
BlackRock Financial Management, Inc.			
BlackRock International Holdings, Inc.			
BR Jersey International Holdings L.P.			

BlackRock Group Limited			
BlackRock (Netherlands) B.V.			
BlackRock, Inc.			
BlackRock Holdco 2, Inc.			
BlackRock Financial Management, Inc.			
BlackRock International Holdings, Inc.			
BR Jersey International Holdings L.P.			
BlackRock Group Limited			
BlackRock Investment Management (UK) Limited	3,02%		
BlackRock, Inc.			
BlackRock Holdco 2, Inc.			
BlackRock Financial Management, Inc.			
BlackRock International Holdings, Inc.			
BR Jersey International Holdings L.P.			
BlackRock Group Limited			
BlackRock Investment Management (UK) Limited			
BlackRock Fund Managers Limited			