

## Notification of Major Holdings

To be sent to BaFin via Fax (++49-(0)228-4108-3119) or via mail (BaFin, Referat WA 12 / WA 13, Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holdings dated

### 1. Details of issuer (name, address)

Aumann AG  
Dieselstr. 6  
48361 Beelen, Germany  
LEI: 529900GLI93PGU71F690

### 2. Reason for Notification (multiple reasons possible)

☒ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of instruments

☐ Change of breakdown of voting rights

☐ Other reason:

### 3. Details of person subject to the notification obligation

Legal entity: BlackRock, Inc. City of registered office, country: Wilmington, DE, U.S.A.

### 4. Name (s) of shareholder(s) holding directly 3% or more voting rights, if different from 3.

### 5. Date on which threshold was crossed or reached: 02.11.2018

### 6. Total positions

|  | % of voting rights<br>attached to shares<br>(total of 7.a.) | % of voting rights<br>through instruments<br>(total of 7.b.1 + 7.b.2) | Total of both in %<br>(7.a. + 7.b.) | Total number of<br>voting rights of<br>issuer |
|--|---|---|-------------------------------------|---|
| New                                      | 2,88%   | 0,36%   | 3,24%                               | 15250000                                      |
| Previous notification (if<br>applicable) | 3,05%   | 0,36%   | 3,42%                               |   |

**7. Details on total positions****a. Voting rights attached to shares (Sec. 33, 34 WpHG)**

| ISIN         | Absolute                 |                            | In %                     |                            |
|--------------|--------------------------|----------------------------|--------------------------|----------------------------|
|              | direct<br>(Sec. 33 WpHG) | indirect<br>(Sec. 34 WpHG) | direct<br>(Sec. 33 WpHG) | indirect<br>(Sec. 34 WpHG) |
| DE000A2DAM03 | 0                        | 439412                     | 0,00%                    | 2,88%                      |
|              |                          |                            | %                        | %                          |
| <b>Total</b> | 439412                   |                            | 2,88%                    |                            |

**b.1. Instruments according to Sec. 38 (1) no. 1 WpHG**

(please use annex in case of more than 3 instruments)

| Type of instrument | Expiration or maturity date | Exercise or conversion period | Voting rights absolute | Voting rights in % |
|--------------------|-----------------------------|-------------------------------|------------------------|--------------------|
| Lent Securities    | N/A                         | N/A                           | 55347                  | 0,36%              |
|                    |                             |                               |                        | %                  |
|                    |                             |                               |                        | %                  |
| <b>Total</b>       |                             |                               | 55347                  | 0,36%              |

**b.2. Instruments according to Sec. 38 (1) no. 2 WpHG**

(please use annex in case of more than 3 instruments)

| Type of instrument | Expiration or maturity date | Exercise or conversion period | Cash or physical settlement | Voting rights absolute | Voting rights in % |
|--------------------|-----------------------------|-------------------------------|-----------------------------|------------------------|--------------------|
|                    |                             |                               |                             |                        | %                  |
|                    |                             |                               |                             |                        | %                  |
|                    |                             |                               |                             |                        | %                  |
| <b>Total</b>       |                             |                               |                             |                        |                    |

**8. Information in relation to the person subject to the notification obligation (please tick the applicable box)**

☐ Person subject to the notification (3.) obligation is not controlled nor does it control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☒ Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (in case of more than four undertakings please always provide only to BaFin also an organizational chart):

| Name           | % of voting rights<br>(if at least held 3%<br>or more) | % of voting rights through<br>instruments<br>(if at least held 5% or more) | Total of both<br>(if at least held<br>5% or more) |
|----------------|--|--|---|
| See Attachment | %  | %  | %   |
|                | %  | %  | %   |
|                | %  | %  | %   |
|                | %  | %  | %   |

**9. In case of proxy voting according to Sec. 34 (3) WpHG**

(only in case of attribution of voting rights pursuant to Sec. 34 (1) sent. 1 No. 6 WpHG)

Date of general meeting:

Total positions (6.) after general meeting:

| % of voting rights attached to shares | % of voting rights through instruments | Total of both |
|---------------------------------------|--|---------------|
| %                                     | %                                      | %             |

**10. Other useful information**

Attachment to (Please tick the applicable box)

☐ 7.b.1. (fill in the sums [total] into the standard form)

| b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG (attachment can be extended) |                             |                               |                        |                    |
|---|-----------------------------|-------------------------------|------------------------|--------------------|
| Type of Instrument  | Expiration or maturity date | Exercise or conversion period | Voting rights absolute | Voting rights in % |
|   |                             |                               |                        | %                  |
|   |                             |                               |                        | %                  |
|   |                             |                               |                        | %                  |
|   |                             |                               |                        | %                  |
|   |                             |                               |                        | %                  |
|   |                             |                               |                        | %                  |

☐ 7.b.2. (fill in the sums [total] into the standard form)

| b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG (attachment can be extended) |                             |                               |                             |                        |                    |
|---|-----------------------------|-------------------------------|-----------------------------|------------------------|--------------------|
| Type of instrument  | Expiration or maturity date | Exercise or conversion period | Cash or physical settlement | Voting rights absolute | Voting rights in % |
|   |                             |                               |                             |                        | %                  |
|   |                             |                               |                             |                        | %                  |
|   |                             |                               |                             |                        | %                  |
|   |                             |                               |                             |                        | %                  |
|   |                             |                               |                             |                        | %                  |
|   |                             |                               |                             |                        | %                  |

☒ 8. (Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity; attachment can be extended)

| Name  | % of voting rights (if at least held 3% or more) | % of voting rights through instruments (if at least held 5% or more) | Total of both (if at least held 5% or more) |
|---|--|--|---|
| BlackRock, Inc.   |  |  |   |
| BlackRock Holdco 2, Inc.                                    |  |  |   |
| BlackRock Financial Management, Inc.                        |  |  |   |
| BlackRock Holdco 4, LLC                                     |  |  |   |
| BlackRock Holdco 6, LLC                                     |  |  |   |
| BlackRock Delaware Holdings Inc.                            |  |  |   |
| BlackRock Institutional Trust Company, National Association |  |  |   |
|   |  |  |   |
| BlackRock, Inc.   |  |  |   |
| BlackRock Holdco 2, Inc.                                    |  |  |   |
| BlackRock Financial Management, Inc.                        |  |  |   |
| BlackRock Holdco 4, LLC                                     |  |  |   |
| BlackRock Holdco 6, LLC                                     |  |  |   |

|  |  |  |  |
|--|--|--|--|
| BlackRock Delaware Holdings Inc.                         |  |  |  |
| BlackRock Fund Advisors                                  |  |  |  |
|  |  |  |  |
| BlackRock, Inc.  |  |  |  |
| BlackRock Holdco 2, Inc.                                 |  |  |  |
| BlackRock Financial Management, Inc.                     |  |  |  |
| BlackRock International Holdings, Inc.                   |  |  |  |
| BR Jersey International Holdings L.P.                    |  |  |  |
| BlackRock Holdco 3, LLC                                  |  |  |  |
| BlackRock Cayman 1 LP                                    |  |  |  |
| BlackRock Cayman West Bay Finco Limited                  |  |  |  |
| BlackRock Cayman West Bay IV Limited                     |  |  |  |
| BlackRock Group Limited                                  |  |  |  |
| BlackRock Finance Europe Limited                         |  |  |  |
| BlackRock Advisors (UK) Limited                          |  |  |  |
|  |  |  |  |
| BlackRock, Inc.  |  |  |  |
| BlackRock Holdco 2, Inc.                                 |  |  |  |
| BlackRock Financial Management, Inc.                     |  |  |  |
| BlackRock International Holdings, Inc.                   |  |  |  |
| BR Jersey International Holdings L.P.                    |  |  |  |
| BlackRock Holdco 3, LLC                                  |  |  |  |
| BlackRock Cayman 1 LP                                    |  |  |  |
| BlackRock Cayman West Bay Finco Limited                  |  |  |  |
| BlackRock Cayman West Bay IV Limited                     |  |  |  |
| BlackRock Group Limited                                  |  |  |  |
| BlackRock Luxembourg Holdco S.à r.l.                     |  |  |  |
| BlackRock (Luxembourg) S.A.                              |  |  |  |
|  |  |  |  |
| BlackRock, Inc.  |  |  |  |
| BlackRock Holdco 2, Inc.                                 |  |  |  |
| BlackRock Financial Management, Inc.                     |  |  |  |
| BlackRock International Holdings, Inc.                   |  |  |  |
| BR Jersey International Holdings L.P.                    |  |  |  |
| BlackRock Holdco 3, LLC                                  |  |  |  |
| BlackRock Cayman 1 LP                                    |  |  |  |
| BlackRock Cayman West Bay Finco Limited                  |  |  |  |
| BlackRock Cayman West Bay IV Limited                     |  |  |  |
| BlackRock Group Limited                                  |  |  |  |
| BlackRock Luxembourg Holdco S.à r.l.                     |  |  |  |
| BlackRock Investment Management Ireland Holdings Limited |  |  |  |
| BlackRock Asset Management Ireland Limited               |  |  |  |
|  |  |  |  |

|  |  |  |  |
|--|--|--|--|
| BlackRock, Inc.                              |  |  |  |
| BlackRock Holdco 2, Inc.                     |  |  |  |
| BlackRock Financial Management, Inc.         |  |  |  |
| BlackRock International Holdings, Inc.       |  |  |  |
| BR Jersey International Holdings L.P.        |  |  |  |
| BlackRock Holdco 3, LLC                      |  |  |  |
| BlackRock Cayman 1 LP                        |  |  |  |
| BlackRock Cayman West Bay Finco Limited      |  |  |  |
| BlackRock Cayman West Bay IV Limited         |  |  |  |
| BlackRock Group Limited                      |  |  |  |
| BlackRock International Limited              |  |  |  |
|  |  |  |  |
| BlackRock, Inc.                              |  |  |  |
| BlackRock Holdco 2, Inc.                     |  |  |  |
| BlackRock Financial Management, Inc.         |  |  |  |
| BlackRock International Holdings, Inc.       |  |  |  |
| BR Jersey International Holdings L.P.        |  |  |  |
| BlackRock Holdco 3, LLC                      |  |  |  |
| BlackRock Cayman 1 LP                        |  |  |  |
| BlackRock Cayman West Bay Finco Limited      |  |  |  |
| BlackRock Cayman West Bay IV Limited         |  |  |  |
| BlackRock Group Limited                      |  |  |  |
| BlackRock Finance Europe Limited             |  |  |  |
| BlackRock (Netherlands) B.V.                 |  |  |  |
|  |  |  |  |
| BlackRock, Inc.                              |  |  |  |
| BlackRock Holdco 2, Inc.                     |  |  |  |
| BlackRock Financial Management, Inc.         |  |  |  |
| BlackRock International Holdings, Inc.       |  |  |  |
| BR Jersey International Holdings L.P.        |  |  |  |
| BlackRock Holdco 3, LLC                      |  |  |  |
| BlackRock Cayman 1 LP                        |  |  |  |
| BlackRock Cayman West Bay Finco Limited      |  |  |  |
| BlackRock Cayman West Bay IV Limited         |  |  |  |
| BlackRock Group Limited                      |  |  |  |
| BlackRock Finance Europe Limited             |  |  |  |
| BlackRock Investment Management (UK) Limited |  |  |  |
|  |  |  |  |
| BlackRock, Inc.                              |  |  |  |
| BlackRock Holdco 2, Inc.                     |  |  |  |
| BlackRock Financial Management, Inc.         |  |  |  |
| BlackRock International Holdings, Inc.       |  |  |  |
| BR Jersey International Holdings L.P.        |  |  |  |

|  |  |  |  |
|--|--|--|--|
| BlackRock Holdco 3, LLC                      |  |  |  |
| BlackRock Cayman 1 LP                        |  |  |  |
| BlackRock Cayman West Bay Finco Limited      |  |  |  |
| BlackRock Cayman West Bay IV Limited         |  |  |  |
| BlackRock Group Limited                      |  |  |  |
| BlackRock Finance Europe Limited             |  |  |  |
| BlackRock Investment Management (UK) Limited |  |  |  |
| BlackRock Fund Managers Limited              |  |  |  |