

Notification of Major Holdings

To be sent to BaFin via Fax (+49-(0)228-4108-3119) or via mail (BaFin, Referat WA 13, Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holdings dated

1. Details of issuer

Name:

Aumann AG

Legal Entity Identifier (LEI):

529900GLI93PGU71F690

Street, Street number:

Dieselstraße 6

Postal code:

48361

City:

Beelen, Germany

2. Reason for notification (multiple reasons possible)

☒ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of instruments

☐ Change of breakdown of voting rights

☐ Other reason:

3. Details of person subject to the notification obligation

Natural person (first name, surname):

Legal entity:

OppenheimerFunds, Inc.

Date of birth:

City of registered office, country:

Denver, Colorado, USA

4. Name(s) of shareholder(s) holding directly 3% or more voting rights, if different from 3.

Oppenheimer Global Opportunities Fund

5. Date on which threshold was crossed or reached: 01.11.2018

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1. + 7.b.2.)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	6.56%	0.00%	6.56%	15250000
Previous notification	3.28%	0.00%	3.28%	

7. Details on total positions**a. Voting rights attached to shares (Sec. 33, 34 WpHG)**

ISIN	Absolute		In %	
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)
DE000A2DAM03		1000000	%	6.56%
			%	%
Total	1000000		6.56%	

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

(please use annex in case of more than 3 instruments)

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
				%
				%
				%
Total				%

b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

(please use annex in case of more than 3 instruments)

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
					%
					%
Total					%

8. Information in relation to the person subject to the notification obligation (please tick the applicable box):

☒ Person subject to the notification (3.) obligation is not controlled nor does it control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☐ Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (in case of more than four undertakings please always provide only to BaFin also an organizational chart):

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)
	%	%	%
	%	%	%
	%	%	%
	%	%	%

9. In case of proxy voting according to Sec. 34 (3) WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 (1) sent. 1 no. 6 WpHG)

Date of general meeting:

Total positions (6.) after general meeting:

% of voting rights attached to shares	% of voting rights through instruments	Total of both
%	%	%

10. Other useful information