

## Notification of Major Holdings

To be sent to BaFin via Fax (+49-(0)228-4108-3119) or via mail (BaFin, Referat WA 13, Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holding published on (date of publication)

### 1. Details of issuer (name, address)

Aumann AG  
Dieselstraße 6  
48361 Beelen

### 2. Reason for notification (multiple reasons possible)

☐ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of instruments

☐ Change of breakdown of voting rights

☒ Other reason: Exercise of instruments

### 3. Details of person subject to the notification obligation

Name and, if applicable, date of birth:

Fidelity Mt. Vernon N/A  
Street Trust

City and country of registered office (if applicable):

Boston, USA

### 4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3.

### 5. Date on which threshold was crossed or reached: 14.08.2018

### 6. Total positions

|  | % of voting rights<br>attached to shares<br>(total of 7.a.) | % of voting rights<br>through instruments<br>(total of 7.b.1. + 7.b.2.) | Total of both in %<br>(7.a. + 7.b.) | Total number of<br>voting rights of<br>issuer |
|--|---|---|-------------------------------------|---|
| Resulting situation                      | 3.07%   | 0.38%   | 3.45%                               | 15,250,000                                    |
| Previous notification<br>(if applicable) | 2.94%   | 0.51%   | 3.45%                               |   |

**7. Notified details of the resulting situation****a. Voting rights attached to shares (Sec. 33, 34 WpHG)**

| ISIN         | absolute                 |                            | in %                     |                            |
|--------------|--------------------------|----------------------------|--------------------------|----------------------------|
|              | direct<br>(Sec. 33 WpHG) | indirect<br>(Sec. 34 WpHG) | direct<br>(Sec. 33 WpHG) | indirect<br>(Sec. 34 WpHG) |
| DE000A2DAM03 | 467,952                  |                            | 3.07%                    | %                          |
|              |                          |                            | %                        | %                          |
| <b>Total</b> | <b>467,952</b>           |                            | <b>3.07%</b>             |                            |

**b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG**

(please use annex in case of more than 3 instruments)

| Type of instrument            | Expiration or maturity date | Exercise or conversion period | Voting rights absolute | Voting rights in % |
|-------------------------------|-----------------------------|-------------------------------|------------------------|--------------------|
| Right to recall stock on loan |                             | no specific term period       | 58,400                 | 0.38%              |
|                               |                             |                               |                        | %                  |
|                               |                             |                               |                        | %                  |
| <b>Total</b>                  |                             |                               | <b>58,400</b>          | <b>0.38%</b>       |

**b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG**

(please use annex in case of more than 3 instruments)

| Type of instrument | Expiration or maturity date | Exercise or conversion period | Cash or physical settlement | Voting rights absolute | Voting rights in % |
|--------------------|-----------------------------|-------------------------------|-----------------------------|------------------------|--------------------|
|                    |                             |                               |                             |                        | %                  |
|                    |                             |                               |                             |                        | %                  |
|                    |                             |                               |                             |                        | %                  |
| <b>Total</b>       |                             |                               |                             |                        | <b>%</b>           |

**8. Information in relation to the person subject to the notification obligation** (please tick the applicable box):

☒ Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☐ Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (in case of more than 4 undertakings an annex is requested; the annex can be either a table or a qualified organizational chart; a qualified organizational chart contains only the information of a table; in case of a table please always provide only to BaFin also a basic organizational chart):

| Name | % of voting rights<br>(if at least held 3%<br>or more) | % of voting rights through<br>instruments<br>(if at least held 5% or more) | Total of both<br>(if at least held<br>5% or more) |
|------|--|--|---|
|      | %  | %  | %   |
|      | %  | %  | %   |
|      | %  | %  | %   |
|      | %  | %  | %   |

**9. In case of proxy voting according to Sec. 34 para. 3 WpHG**

(only in case of attribution of voting rights pursuant to Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding position after general meeting:                      % (equals                      voting rights)

**10. Other useful information**