

Notification of Major Holdings

To be sent to BaFin via Fax (++49-(0)228-4108-3119) or via mail (BaFin, Referat WA 13, Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holding published on _____ (date of publication)

1. Details of issuer (name, address)

Aumann AG
Dieselstraße 6
48361 Beelen

2. Reason for notification (multiple reasons possible)

☐ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of instruments

☐ Change of breakdown of voting rights

☒ Other reason: Exercise of instruments

3. Details of person subject to the notification obligation

Name and, if applicable, date of birth:

Fidelity Mt. Vernon N/A
Street Trust

City and country of registered office (if applicable):

Boston, USA

4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3.

5. Date on which threshold was crossed or reached: 10.08.2018

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1. + 7.b.2.)	Total of both in % (7.a. + 7.b.)	Total number of voting rights of issuer
Resulting situation	3.02%	0.43%	3.45%	15,250,000
Previous notification (if applicable)	2.99%	0.46%	3.45%	

7. Notified details of the resulting situation**a. Voting rights attached to shares (Sec. 33, 34 WpHG)**

ISIN	absolute		in %	
	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)
DE000A2DAM03	460,271		3.02%	%
			%	%
Total	460,271		3.02%	

b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG

(please use annex in case of more than 3 instruments)

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Right to recall stock on loan		no specific term period	66,081	0.43%
				%
				%
Total			66,081	0.43%

b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG

(please use annex in case of more than 3 instruments)

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
					%
					%
Total					%

8. Information in relation to the person subject to the notification obligation (please tick the applicable box):

☒ Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☐ Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (in case of more than 4 undertakings an annex is requested; the annex can be either a table or a qualified organizational chart; a qualified organizational chart contains only the information of a table; in case of a table please always provide only to BaFin also a basic organizational chart):

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
	%	%	%
	%	%	%
	%	%	%
	%	%	%

9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights pursuant to Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding position after general meeting: % (equals voting rights)

10. Other useful information