

Notification of Major Holdings

To be sent to BaFin via Fax (++49-(0)228-4108-3119) or via mail (BaFin, Referat WA 13, Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holding published on _____ (date of publication)

1. Details of issuer (name, address)

Aumann AG
Dieselstraße 6
48361 Beelen

2. Reason for notification (multiple reasons possible)

☒ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of instruments

☐ Change of breakdown of voting rights

☐ Other reason:

3. Details of person subject to the notification obligation

Name and, if applicable, date of birth:

FMR LLC N/A

City and country of registered office (if applicable):

Wilmington, Delaware, USA

4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3.

FIDELITY INVESTMENT TRUST, FIDELITY MT. VERNON STREET TRUST

5. Date on which threshold was crossed or reached: 23.02.2018

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1. + 7.b.2.)	Total of both in % (7.a. + 7.b.)	Total number of voting rights of issuer
Resulting situation	9.81%	0.18%	9.99%	15,250,000
Previous notification (if applicable)	9.83%	0.17%	10.00%	

7. Notified details of the resulting situation**a. Voting rights attached to shares (Sec. 33, 34 WpHG)**

ISIN	absolute		in %	
	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)
DE000A2DAM03		1,496,369	%	9.81%
			%	%
Total	1,496,369		9.81%	

b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG

(please use annex in case of more than 3 instruments)

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Right to recall stock on loan		no specific term period	27,725	0.18%
				%
				%
Total			27,725	0.18%

b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG

(please use annex in case of more than 3 instruments)

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
					%
					%
Total					%

8. Information in relation to the person subject to the notification obligation (please tick the applicable box):

☐ Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☒ Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (in case of more than 4 undertakings an annex is requested; the annex can be either a table or a qualified organizational chart; a qualified organizational chart contains only the information of a table; in case of a table please always provide only to BaFin also a basic organizational chart):

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
See attached annex	%	%	%
	%	%	%
	%	%	%
	%	%	%

9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights pursuant to Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding position after general meeting: % (equals voting rights)

10. Other useful information

Fill in information

In case of a correction of a notification which has not been published by the issuer please tick the box "Notification of Major Holdings".

Reason for notification (2.)

Relevant are the reasons for triggering the threshold(s). Example for multiple reasons: Exercise of financial instruments (= other reason) which at the same time led to crossing above a threshold of voting rights (= acquisition/disposal of shares with voting rights).

Examples for "other reason": Notifications according to Sec. 33 para. 2 WpHG, entering or termination of an acting in concert, proxy or its termination, voluntary group notification with triggered threshold on subsidiary level, exercise or expiration of financial instruments.

Details of person subject to the notification obligation (3.)

In case of identical notifications, e.g. community of heirs or multiple controlling parent undertakings ("Mehrmütterherrschaft"), a single notifications can be disclosed.

In case of natural persons: First name, family name, date of birth, but no reference to domicile or state.

In case of entities: City of registered office refers to the City stated in the register according to the applicable company law.

Names of shareholder(s) (4.)

Relevant are only shareholders from which voting rights are attributed to the person under 3. according to Sec. 34 para. 1 and 2 WpHG.

Date on which threshold was crossed or reached (5.)

For notifications according to Sec. 33 para. 2 WpHG please enter the relevant date of holding.

Total positions (6.)

"Total number of voting rights of issuer": Please enter the total number of voting rights which has been used for calculation of the voting-right proportions (%).

"Previous notification": If there has not been a previous notification please enter „n/a“.

Notified details of the resulting situation (7.)

Voting rights (7.a)

The ISIN is mandatory. More than one ISIN apply only if more than one class of shares exist.

Instruments (7.b)

Examples for 7.b.1: Call-options, futures/forwards etc.

Examples for 7.b.2: Cash settled call-option, swaps, cfd's etc.

Multiple instruments of the same type but with different periods of validity can be grouped by referring to the outmost relevant dates.

Information in relation to the person subject to the notification obligation (8.)

Always the full chain has to be provided, i.e. including the person under 3. and by starting with the ultimate parent undertaking, where applicable by an annex. This also applies in case that only a subsidiary undertaking triggers a threshold and thus discloses a notification (if its parent undertaking has not triggered a threshold at the same time it is not subject to the notification obligation). In case of multiple chains the chains have to be presented chain by chain leaving a row free between the different chains (e.g.: A, B, C, free row, A, B, D, free row etc.).

Whereas the names of the different companies have to be provided in any case, the percentages of their holdings have only to be provided if these reach or exceed the lowest applicable threshold (3% or 5%). However, this rule does not apply in cases where voting rights are attributed only due to the fact of being a parent undertaking (Sec. 34 and Sec. 38 WpHG), i.e. in these cases the percentages of their holdings

shall not be provided. The attribution of voting rights in these cases is already made transparent by providing the order of chain of controlled undertakings.

Other useful information (10.)

Applies only in specific cases. Example: Information that there is no aggregation of voting rights and instruments as the instruments refer to shares disclosed as attributed voting rights. Information has to be brief and clear.

Attachment to (please tick the applicable box)

☐ **7.b.1.** (fill in the sums [total] into the standard form)

b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG (attachment can be extended)				
Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
				%
				%
				%
				%
				%
				%

☐ **7.b.2.** (fill in the sums [total] into the standard form)

b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG (attachment can be extended)					
Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
					%
					%
					%
					%
					%

☒ **8.** (Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity; attachment can be extended)

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
FMR LLC	%	%	%
Fidelity Management & Research Company	9.73%	%	9.91%
	%	%	%
FMR LLC	%	%	%
FIAM Holdings Corp.	%	%	%
Fidelity Institutional Asset Management Trust Company	%	%	%