

Notification of Major Holdings

To be sent to BaFin via Fax (+ +49-(0)228-4108-3119) or via mail (BaFin, Referat WA 12 / WA 13, Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holding published on (date of publication)

1. Details of issuer (name, address)

Aumann AG
Dieselstr. 6
48361 Beelen , Germany

2. Reason for Notification (multiple reasons possible)

☒ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of instruments

☐ Change of breakdown of voting rights

☐ Other reason:

3. Details of person subject to the notification obligation

Name and, if applicable, date of birth: City and country of registered office (if applicable):
BlackRock, Inc. Wilmington, DE, U.S.A.

4. Name of shareholder(s) holding directly 3% or more voting rights, if different from 3.

5. Date on which threshold was crossed or reached: 09.01.2018

6. Total positions

| | % of voting rights attached to shares (total of 7.a.) | % of voting rights through instruments (total of 7.b.1 + 7.b.2) | Total of both in % (7.a. + 7.b.) | Total number of voting rights of issuer |
|--|---|---|-------------------------------------|---|
| Resulting situation | 2,77% | 1,00% | 3,77% | 15250000 |
| Previous notification (if applicable) | 3,05% | 0,74% | 3,78% | |

7. Notified details of the resulting situation**a. Voting rights attached to shares (Sec. 33, 34 WpHG)**

| ISIN | Absolute | | In % | |
|--------------|--------------------------|----------------------------|--------------------------|----------------------------|
| | direct (Sec. 33 WpHG) | indirect (Sec. 34 WpHG) | direct (Sec. 33 WpHG) | indirect (Sec. 34 WpHG) |
| DE000A2DAM03 | 0 | 422921 | 0,00% | 2,77% |
| | | | % | % |
| Total | 422921 | | 2,77% | |

b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG

(please use annex in case of more than 3 instruments)

| Type of instrument | Expiration or maturity date | Exercise or conversion period | Voting rights absolute | Voting rights in % |
|--------------------|-----------------------------|-------------------------------|------------------------|--------------------|
| Lent Securities | N/A | N/A | 152235 | 1,00% |
| | | | | % |
| | | | | % |
| Total | | | 152235 | 1,00% |

b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG

(please use annex in case of more than 3 instruments)

| Type of Instrument | Expiration or maturity date | Exercise or conversion period | Cash or physical settlement | Voting rights absolute | Voting rights in % |
|--------------------|-----------------------------|-------------------------------|-----------------------------|------------------------|--------------------|
| | | | | | % |
| | | | | | % |
| | | | | | % |
| Total | | | | | |

8. Information in relation to the person subject to the notification obligation (please tick the applicable box)

☐ Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☒ **Full** chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (in case of more than 4 undertakings an annex is requested; the annex can be either a table or a qualified organizational chart; a qualified organizational chart contains only the information of a table; in case of a table please always provide only to BaFin also a basic organizational chart)

| Name | % of voting rights (if at least held 3% or more) | % of voting rights through instruments (if at least held 5% or more) | Total of both (if at least held 5% or more) |
|----------------|--|--|---|
| See Attachment | % | % | % |
| | % | % | % |
| | % | % | % |
| | % | % | % |

9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights pursuant to Sec. 34 para.1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding position after general meeting: % (equals voting rights)

10. Other useful information

Attachment to (Please tick the applicable box)

☐ **7.b.1.** (fill in the sums [total] into the standard form)

| b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG (attachment can be extended) | | | | |
|--|-----------------------------|-------------------------------|------------------------|--------------------|
| Type of Instrument | Expiration or maturity date | Exercise or conversion period | Voting rights absolute | Voting rights in % |
| | | | | % |
| | | | | % |
| | | | | % |
| | | | | % |
| | | | | % |
| | | | | % |

☐ **7.b.2.** (fill in the sums [total] into the standard form)

| b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG (attachment can be extended) | | | | | |
|--|-----------------------------|-------------------------------|-----------------------------|------------------------|--------------------|
| Type of instrument | Expiration or maturity date | Exercise or conversion period | Cash or physical settlement | Voting rights absolute | Voting rights in % |
| | | | | | % |
| | | | | | % |
| | | | | | % |
| | | | | | % |
| | | | | | % |
| | | | | | % |

☒ **8.** (Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity; attachment can be extended)

| Name | % of voting rights (if at least held 3% or more) | % of voting rights through instruments (if at least held 5% or more) | Total of both (if at least held 5% or more) |
|---|--|--|---|
| BlackRock, Inc. | | | |
| BlackRock Holdco 2, Inc. | | | |
| BlackRock Financial Management, Inc. | | | |
| BlackRock Holdco 4, LLC | | | |
| BlackRock Holdco 6, LLC | | | |
| BlackRock Delaware Holdings Inc. | | | |
| BlackRock Institutional Trust Company, National Association | | | |
| | | | |
| BlackRock, Inc. | | | |
| BlackRock Holdco 2, Inc. | | | |
| BlackRock Financial Management, Inc. | | | |
| BlackRock Holdco 4, LLC | | | |
| BlackRock Holdco 6, LLC | | | |

| | | | |
|--|--|--|--|
| BlackRock Delaware Holdings Inc. | | | |
| BlackRock Fund Advisors | | | |
| | | | |
| BlackRock, Inc. | | | |
| BlackRock Holdco 2, Inc. | | | |
| BlackRock Financial Management, Inc. | | | |
| BlackRock International Holdings, Inc. | | | |
| BR Jersey International Holdings L.P. | | | |
| BlackRock Group Limited | | | |
| BlackRock Advisors (UK) Limited | | | |
| | | | |
| BlackRock, Inc. | | | |
| BlackRock Holdco 2, Inc. | | | |
| BlackRock Financial Management, Inc. | | | |
| BlackRock International Holdings, Inc. | | | |
| BR Jersey International Holdings L.P. | | | |
| BlackRock Group Limited | | | |
| BlackRock Luxembourg Holdco S.à r.l. | | | |
| BlackRock (Luxembourg) S.A. | | | |
| | | | |
| BlackRock, Inc. | | | |
| BlackRock Holdco 2, Inc. | | | |
| BlackRock Financial Management, Inc. | | | |
| BlackRock International Holdings, Inc. | | | |
| BR Jersey International Holdings L.P. | | | |
| BlackRock Group Limited | | | |
| BlackRock Luxembourg Holdco S.à r.l. | | | |
| BlackRock Investment Management Ireland Holdings Limited | | | |
| BlackRock Asset Management Ireland Limited | | | |
| | | | |
| BlackRock, Inc. | | | |
| BlackRock Holdco 2, Inc. | | | |
| BlackRock Financial Management, Inc. | | | |
| BlackRock International Holdings, Inc. | | | |
| BR Jersey International Holdings L.P. | | | |
| BlackRock Group Limited | | | |
| BlackRock International Limited | | | |
| | | | |
| BlackRock, Inc. | | | |
| BlackRock Holdco 2, Inc. | | | |
| BlackRock Financial Management, Inc. | | | |
| BlackRock International Holdings, Inc. | | | |
| BR Jersey International Holdings L.P. | | | |

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|--|--|--|--|
| BlackRock Group Limited | | | |
| BlackRock Investment Management (UK) Limited | | | |
| | | | |
| BlackRock, Inc. | | | |
| BlackRock Holdco 2, Inc. | | | |
| BlackRock Financial Management, Inc. | | | |
| BlackRock International Holdings, Inc. | | | |
| BR Jersey International Holdings L.P. | | | |
| BlackRock Group Limited | | | |
| BlackRock Investment Management (UK) Limited | | | |
| BlackRock Fund Managers Limited | | | |