

## Notification of Major Holdings

To be sent to BaFin via Fax (++49-(0)228-4108-3119) or via mail (BaFin, Referat WA 12 / WA 13,  
Marie-Curie-Str. 24-28, 60439 Frankfurt am Main) and to the issuer via fax or mail

☒ **Notification of Major Holdings**

or

☐ **Correction** of a notification of Major Holding published on \_\_\_\_\_ (date of publication)

### 1. Details of issuer (name, address)

Aumann AG, Dieselstrasse 6, 48361 Beelen, Germany

### 2. Reason for notification (multiple reasons possible)

☒ Acquisition/disposal of shares with voting rights

☐ Acquisition/disposal of Instruments

☐ Change of breakdown of voting rights

☐ Other reason:

### 3. Details of person subject to the notification obligation

Name and, if applicable, date of birth:

Sycomore Asset  
Management

City and country of registered office (if applicable):

Paris, France.

### 4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3.

### 5. Date on which threshold was crossed or reached: 13.11.2017

### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1. + 7.b.2.)	Total of both in % (7.a. + 7.b.)	Total number of voting rights of issuer
Resulting situation	3.07%	0%	3.07%	14000000
Previous notification (if applicable)	n/a%	n/a%	n/a%	

**7. Notified details of the resulting situation****a. Voting rights attached to shares (Sec. 21, 22 WpHG)**

ISIN	absolute		in %	
	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)	direct (Sec. 21 WpHG)	indirect (Sec. 22 WpHG)
DE000A2DAM03	429272	0	3.07%	0%
			%	%
<b>Total</b>	429272		3.07%	

**b.1. Instruments according to Sec. 25 para. 1 No. 1 WpHG**

(please use annex in case of more than 3 instruments)

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
				%
				%
				%
<b>Total</b>				%

**b.2. Instruments according to Sec. 25 para. 1 No. 2 WpHG**

(please use annex in case of more than 3 instruments)

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
					%
					%
					%
<b>Total</b>					%

**8. Information in relation to the person subject to the notification obligation** (please tick the applicable box):

☒ Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

☐ Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity (in case of more than 4 undertakings an annex is requested; the annex can be either a table or a qualified organizational chart; a qualified organizational chart contains only the information of a table; in case of a table please always provide only to BaFin also a basic organizational chart):

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
	%	%	%
	%	%	%
	%	%	%
	%	%	%

**9. In case of proxy voting according to Sec. 22 para. 3 WpHG**

(only in case of attribution of voting rights pursuant to Sec. 22 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding position after general meeting:                      % (equals                      voting rights)

**10. Other useful information**